



भारतीय प्रौद्योगिकी संस्थान इन्दौर
INDIAN INSTITUTE OF TECHNOLOGY INDORE

Minutes of the thirty sixth (36th) meeting of the Finance Committee and fortieth (40th) Meeting of the Board of Governors held at 10.00 Hrs. (Thursday) on January 21, 2021 in Board Room, Abhinandan Bhavan, IITI.

Present:

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| 1. Professor Deepak B. Phatak
Prof. (Retd), Department of CSE, IIT Bombay
(Through VC) | Chairman FC and BoG |
| 2. Professor Neelesh Kumar Jain
Director (Officiating), IIT Indore | Member FC and BoG |
| 3. Shri. Rakesh Ranjan
Additional Secretary (TE), MoE, New Delhi
(Comments received vide e-mail Dated: January 19, 2021
<i>Appendix-I</i>) | Member FC and BoG |
| 4. Mr. Anil Kumar
Director (IFD) from MoE (Through VC)
Represented to:
Ms. Darshana M. Dabral
JSFA (IFD) | Member, FC |
| 5. Professor Umakant Dash
Professor and Head,
Department of Humanities and Social Sciences,
IIT Madras (Through VC) | Member, FC |
| 6. Professor Neelesh Kumar Jain
Professor, Department of Mechanical Engineering,
IIT Indore | Member, FC |
| 7. Prof. Yogesh M. Joshi
Professor, Department of Chemical Engineering
IIT Kanpur (Through VC) | Member, BoG |
| 8. Mr. Manoj Kohli
Executive Chairman,
SB Energy (Soft Bank Group), New Delhi
(Through VC) | Member, BoG |
| 9. Prof. Krushna R. Mavani
Professor, Physics, IIT Indore
(Through VC) | Member, BoG |
| 10. Prof. Anand Parey
Dean, Resources Generation, IIT Indore
(Through VC) | Member, BoG |



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| 11. Dr. Devendra D. Deshmukh
Dean, Academic Affairs, IIT Indore | Special Invitee (For FC and BoG) |
| 12. Dr. Pritee Sharma
Dean, Administration, IIT Indore | Special Invitee (For FC and BoG) |
| 13. Dr. Manish Kumar Goyal
Dean, Infrastructure Development, IIT Indore | Special Invitee (For FC and BoG) |
| 14. Mr. Pradeep Agarwal
Joint Registrar (Finance, Infrastructure Development,
Resources Generation, IIT Indore) | Special Invitee (For FC and BoG) |
| 15. Mr. Atul Kumar Pandey
Project In-charge, IIT Indore | Special Invitee (For FC) |
| 16. Mr. S. P. Hota
Registrar(I/c), IIT Indore | Secretary, FC and BoG |

Leave of absence:

- | | |
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| 17. Prof. Dhananjay V. Bhatt
Prof. (Retd.) & Chairman CCE,
S. V. National Institute of Technology, Surat.
(Due to technical glitch) | Member, BoG |
| 18. Mrs. C. K. Deshmukh
Principal Secretary, (TE), Bhopal
(Due to receipt of transfer orders) | Member, BoG |

1. Welcome and opening remarks by the Chairman, Finance Committee and Board of Governors, IIT Indore.

Chairman welcomed all the members and advised the Secretary to start the proceeding as per the agenda.

Comments from MOE (TE): "May be noted."

2. Observations of Director, IIT Indore.

Comments from MOE (TE): "May be noted."

A report by the Director (Officiating) Prof. Neelesh Kumar Jain giving details of the work done and achievement during 2020 was circulated amongst the members of FC and BoG. Prof. Jain briefed the members of the FC and BoG about same.

The FC and BoG noted the details presented by the Director and congratulated the Instituted for its achievements in last one year.

Board and the members of the FC noted the report and appreciated the initiatives of the Institute.



3. Taking up the agenda items of 36th meeting of the Finance Committee for deliberation:
- 36.1: To confirm the minutes of the thirty fifth (35th) meeting of Finance Committee held on October 17, 2020.

Comments from MoE (TS-I): "May be considered."

The Finance Committee confirmed the Minutes.

- 36.2 To consider the Action Taken Report (ATR) on the minutes of the thirty fifth (35th) meeting of Finance Committee meeting.

Comments from MoE (TS-I): "May be considered."

FC noted the ATR with satisfaction.

- 36.3: Agenda arising out of ATR: reference item no. : 30.3.iii - To consider and approve additional estimated amount towards the work of "Construction of Guest House at IIT Indore".

Comments from MoE (TS-I): "It has been observed that the Guest House is already inaugurated on 15.01.2020. At this stage, approval of AA&ES of additional amount is not desirable. Moreover, Guest House (more than 20 rooms) is in negative list of the Ministry (Master circular attached below). No extra funds will be granted from OH-35 from the Ministry for the purpose. If it is to be availed through HEFA Loan, it should be within the limits as prescribed in Master Circular."

The desired details were presented by the PIC. It was informed to the Committee that the Guest House is as per approved DPR and budget allocation for the IITI project. The FC noted that the Guest house has 19 rooms as per MOE guidelines.

Committee noted that additional approval is required only for Rs. 39 lac in place of Rs.114 lac for furnishing and some civil work.

Subsequently, FC recommended to the Board for additional approval of Rs. 39 lac over and above existing approval of Rs. 8.27 crores.

- 36.4: To consider the recommendations of the 54th Building and Works Committee held on January 6, 2021.

Comments from MoE (TS-I): "Institute to make sure that all the proposed construction are within the approved DPR and the amount approved by the Cabinet."

FC recommended the following agenda items of the 54th meeting held on January 6, 2021 to Board for approval.

1. **BWC/54.4: Report on joint inspection and quantification for the balance work by M/s Simplex Infrastructures Ltd.**

FC noted that joint inspection and quantification (JIQ) of balance work in seven buildings was completed and the same will be carried out on the risk and cost of M/s SIL as per GCC. The



Committee advised to complete the JIQ of balance work in remaining buildings also on priority.

2. BWC/54.5: Award for the balance works on the risk and cost of M/s SIL.

FC agreed with recommendation of BWC to hand over balance work to CPWD on the deposit basis provided CPWD agrees for it. In this case continuation of PMC M/s MECON is not needed.

It was also resolved that in case, CPWD does not agree, the same may be executed by the Institute tendering through the CPP Portal. The Committee deliberated need of PMC M/s Mecon in case balance work is taken up by the IITI and recommended to continue M/s Mecon as PMC in such a situation.

3. BWC/54.6: Imposition of LD to M/s SIL due to extra ordinary delay in construction.

FC was apprised about the submission of the Delay Analysis report by M/s Mecon and subsequent meeting of the Expert Committee constituted by the Institute, which has external experts on January 19, 2021 in this matter. In the report M/s Mecon has concluded delay on the part of M/s Simplex for approx. 600 days. However, the Committee, after going through the report, has advised M/s Mecon to calculate exact amount of "compensation of delay" in terms of GCC. In case the LD amount from M/s MECON is not received, then LD 10% of the contract value is to be imposed as per GCC.

FC advised that as early as the details are received from M/s Mecon, the same be placed for decision through BWC.

4. BWC/54.7: To consider the Third Party Quality Inspection for the construction work executed by the M/s SIL and PREMCO during the Phase-I.

FC recommended the AA & ES of Rs. 1.0 Crore + GST to the Board for approval.

5. BWC/54.8: To consider the request for AA & ES for proposal of engaging the consultants for engineering services.

Member from IFD, MOE advised that any new works can be taken up only once the project is declared completed as already communicated by the MOE. Director, IITI informed that the project will be declared completed before March 31, 2021 except following two and minor issues:

- i) Development of Campus road network in the Forest Area which is meant for rescue of wild animals and for extinguishing forest fire.
- ii) Balance work of M/s Simplex Infrastructures limited.

The FC agreed to wait till the project is declared as complete.



6. **BWC/54.9: To consider the fee of PMC M/s MECON Ltd. for the extended period from March 2020 to December 2020.**

FC deliberated the matter in detail and insisted continuation of M/s Mecon for the smooth closure of this Contract. As proposed the Committee approved the additional AA & ES amounting to Rs.2.47 crore + GST for the services provided by M/s Mecon from March 2020 to Jan 2021.

FC authorized the Director to obtain requisite documents/information from M/s Mecon and release the due and legitimate amount.

The FC also recommended to the BOG for approval to continue services of M/s Mecon at least 6 months beyond Dec 2020 on the existing terms and conditions, in case CPWD does not accept the balance work.

The Committee noted engagement of M/s Mecon @ Rs 32 lacs per month for 8 personnel and advised that in order to minimize the financial implications, numbers of personnel are to be rationalised as per the requirements of the Institute.

7. **BWC/54.10 To consider the proposal of sports facilities in Institute campus.**

FC recommended to BOG to approve AA & ES of Rs. 1.50 crores for the sports facilities.

- 36.5: **To consider the cases of funding received through Technology Business Incubators (TBIs) and implementation of such project by IIT Indore or other agency.**

Comments from MoE (TS-I): "May be considered as per extant rules and statutes of IIT Indore."

The matter was discussed at length and the FC expressed its displeasure on the actions taken by the concerned faculty member Dr. Chelvam Ventakesh in this matter because in view of the unfavourable changes to IIT Indore made in the NOC only meant for opening Incubation/Start-up within IIT Indore. The FC recommended to withdraw the NOC dated September 03, 2020 issued.

It also recommended that the Deans must be advised to take utmost care in issuing such generic and inappropriate NOC and must seek approval from the Director.

Committee also advised that the funding agency be informed that name of the IITI has been used inappropriately but project is given to one start-up company, therefore, IITI is not responsible in this matter in any manner.

As informed by the Director, the committee noted authorisation to DORG to sign such documents coming under the purview of CIIEIR. FC further advised the Director to convene a meeting with all the stake holders including the ADOFA and resolve the matter by formulating a policy for the gaps in existing policy.



- 36.6: To consider outsourcing of X-ray and Diagnostic laboratory services at the Campus.

Comments from MoE (TS-I): "May be considered."

The Committee recommended to the Board to approve the outsourcing of these facilities initially for one year keeping in view the transport costs and overhead expenses incurred by the Institute when a patient is sent to any city hospital for such tests and time lost and delay in medical treatment of the concerned patient for the same. It was advised also to explore if any service provider offers them on CGHS rates.

- 36.7: To consider the formulation of Guidelines for Online/Offline E-Certification course with duration Ranging from 15 days to 12 months.

The Comments from MoE (TS-I): "Institute may please keep in view the decision of Hon'ble Supreme Court with respect to recognition of Online courses in Technical field."

The Committee recommended the proposal to the Board for approval with maximum duration of 11 months. The certificates will be signed by the course coordinator, Dean-R&D and Dean-Academic Affairs.

- 36.8: Ratification items:

1. Procurement of Audio-Visual equipment for 20 classrooms of 100 seating capacity in the upcoming Takshila Lecture Hall Complex (Indent no. 238,239,240 & 241/20-21):

Comments from MoE (TS-I): "May be considered."

FC extended appreciation for the huge savings in the procurements owing to using GEM or other online platforms.

- 36.9: Reporting items:

Comments from MoE (TS-I): "May be noted."

1. To report latest guidelines regarding CPDA received from Ministry of Education (MOE) vide letter dated December 01, 2020

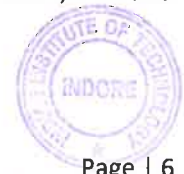
FC noted the same and agreed with the item.

2. To report pending audit para and replies/action taken report thereto.

FC noted the replies with reference to the observations and expressed satisfaction on the corrective actions taken by the Institute. Committee resolved to recommend BOG and CAG office to consider replies and drop the paras, specially where the paras have become redundant such as premises taken on rent, purchase of buses as IITI has shifted in its permanent campus long back.

3. To report the latest position of grant-in-aid received from Ministry of Education, GOI and its utilization.

FC noted the same.



4. To report the latest status of Loan from HEFA.

FC noted the same.

5. To report high value procurement items for the Institute.

(i) Procurement of LCD /DLP Laser Projector & Digital Podium for Lecture Hall Complex (Indent no. 01 & 02 /20-21)

FC noted the same.

(ii) Tender for "End to end Solution for Custom Clearance, Forwarding, consolidation, Insurance, Multimodal Logistics and Allied Services for Import & Export of Goods through Clearing & Forwarding Agent (CFA) and Comprehensive Service Provider (CSP)

FC noted the same.

(iii) Adoption of the guideline issued by the Ministry regarding additional Performance Security in case of Abnormally Low Bods (ALBs):

FC noted the same.

36.10: Any other agenda with the permission of Chair.

Nil



A handwritten signature in blue ink, appearing to be "S. Chandra".

4. Taking up Agenda items for the 40th meeting of the Board of Governors for deliberation:

40.1: To confirm minutes of the thirty ninth (39th) meeting of Board of Governors held on October 17, 2020.

Comments from MoE (TS-I): "May be considered."

The Board confirmed the Minutes.

40.2: To consider and take note of the Action Taken Report (ATR) on the minutes of thirty ninth (39th) meeting of Board of Governors.

Comments from MoE (TS-I):"May be noted."

The Board noted the ATR with satisfaction.

40.3: To consider the recommendations of the 36th meeting of the Finance Committee to be held on January 21, 2021.

Comments from MoE (TS-I): "May be considered."

1. FC/36.3: Agenda arising out of ATR: reference item no. : 30.3.iii - To consider and approve additional estimated amount towards the work of "Construction of Guest House at IIT Indore".

The desired details were presented by the PIC. It was informed to the Committee that the Guest House is as per approved DPR and budget allocation for the IITI project. The FC noted that the Guest house has 19 rooms as per MOE guidelines.

Committee noted that additional approval is required only for Rs. 39 lac only in place of Rs.114 lac for furnishing and some civil work.

The Board approved for additional approval of Rs. 39 lac over and above existing approval of Rs. 8.27 crore as recommended by the FC.

2. FC/36.4: To consider the recommendations of the 54th Building and Works Committee held on January 6, 2021.

Comments from MoE (TS-I): "Institute to make sure that all the proposed construction are within the approved DPR and the amount approved by the Cabinet."

The Board approved the following agenda items of the 54th meeting held on January 6, 2021 based upon recommendations of the FC.

(i) BWC/54.5: Award for the balance works on the risk and cost of M/s SIL.

The Board agreed with recommendation of FC and BWC to hand over balance work to CPWD on the deposit basis provided CPWD agrees for it. In this case continuation of PMC M/s MECON is not needed.



It was also resolved that in case, CPWD does not agree, the same may be executed by the Institute tendering through the CPP Portal. The Committee deliberated need of PMC M/s Mecon in case balance work is taken up by the IITI and recommended to continue M/s Mecon as PMC in such a situation.

(ii) BWC/54.6: Imposition of LD to M/s SIL due to extra ordinary delay in construction.

The Board agreed with the observation and recommendation of the FC after going through the report, M/s Mecon to calculate exact amount of "compensation of delay" in terms of GCC. In case the LD amount from M/s MECON is not received, then LD 10% of the contract value is to be imposed as per GCC.

Board advised that as early as the details are received from M/s Mecon, the same be placed for decision through BWC.

(iii) BWC/54.7: To consider the Third Party Quality Inspection for the construction work executed by the M/s SIL and PREMCO during the Phase-I.

Board approved AA & ES for the approx. cost Rs. 1 Crore + GST as recommended by the FC.

(iv) BWC/54.8: To consider the request for AA & ES for proposal of engaging the consultants for engineering services.

The Board noted advise of the Member of IFD, MOE that any new works can be taken up only once the project is declared completed as already communicated by the MOE. Director, IITI informed that the project will be declared completed before March 31, 2021 except following two and minor issues:

- a) Development of Campus road network in the Forest Area which is meant for rescue of wild animals and for extinguishing forest fire.
- b) Balance work of M/s Simplex Infrastructures limited.

The Board agreed to wait till the project is declared as complete.

(v) BWC/54.9: To consider the fee of PMC M/s MECON Ltd. for the extended period from March 2020 to December 2020.

Board approved the additional AA & ES amounting to Rs. 2.47 crore + GST for the services provided by M/s Mecon from March 2020 to Jan 2021 as recommended by the FC and also authorized the Director to obtain requisite documents/information from M/s Mecon and release the due and legitimate amount.

Board also approved to continue services of M/s Mecon at least 6 months beyond Dec 2020 on the existing terms and conditions, in case CPWD does not accept the balance work.

Board noted the engagement of M/s Mecon @ Rs 32 lacs per month for 8 personnel and advised that in order to minimize the financial implication, numbers of personnel are to be rationalised as per the requirements of the Institute.



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- (vi) **BWC/54.10 To consider the proposal of sports facilities in Institute campus.**

Board approved the AA & ES of Rs. 1.50 crores for the sports facilities as recommended by the FC.

3. **FC/36.5: To consider the cases of funding received through Technology Business Incubators (TBIs) and implementation of such project by IIT Indore or other agency.**

The board noted the lengthy discussion on this matter in the FC and expressed its displeasure on the actions taken by the concerned faculty member Dr. Chelvam Ventakesh in this matter because in view of the unfavourable changes to IIT Indore made in the NOC only meant for opening Incubation/Start-up within IIT Indore. The FC recommended to withdraw the NOC dated September 03, 2020 issued.

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The Board also advised that the funding agency be informed that name of the IITI has been used inappropriately but project is given to one start-up company, therefore, IITI is not responsible in this matter in any manner.

As informed by the Director, the Board noted authorisation to DORG to sign such documents coming under the purview of CIIEIR. FC further advised the Director to convene a meeting with all the stake holders including the ADOFA and resolve the matter by formulating a policy for the gaps in existing policy.

4. **FC/36.6: To consider outsourcing of X-ray and Diagnostic laboratory services at the Campus.**

The Board approved recommendation of the FC to outsource these facilities initially for one year keeping in view the transport costs and overhead expenses incurred by the Institute when a patient is sent to any city hospital for such tests and time lost and delay in medical treatment of the concerned patient for the same. It was advised also to explore if any service provider offers them on CGHS rates.

5. **FC/36.7: To consider the formulation of Guidelines for Online/Offline E-Certification course with duration Ranging from 15 days to 12 months.**

Board approved the proposal with maximum duration of 11 months as recommended by the FC.

6. **FC/36.8: Ratification items:**

- (i) **Procurement of Audio-Visual equipment for 20 classrooms of 100 seating capacity in the upcoming Takshila Lecture Hall Complex (Indent no. 238,239,240 & 241/20-21):**

Board noted the same.



40.4: To adoption of the DoPT Rules on leave encashment.

Comments from MOE (TS-I): "May be considered in accordance with CCS (Leave) Rules, 1972 & DoPT Instructions on the matter."

Board approved the proposal for adoption.

40.5: To consider of MACP case of the Institute official.

Comments from MoE (TS-I): "May be considered."

Board approved the proposal.

40.6: To adoption the DoPT Rules on compassionate appointment.

Comments from MoE (TS-I): "May be considered."

Board approved the proposal for adoption.

40.7: To consider and adopt of the Annual Report 2020 of the Institute.

Comments from MoE (TS-I): "May be considered."

Board adopted the Annual Report 2020 of the Institute in principle.

40.8: To consider the request of a faculty member for NOC to be Part time, Executive Director of a Company.

Comments from MOE (TS-I): "There may not be any objection in accepting the post as honorary without any remuneration (except honorarium). May be further discussed during the meeting."

Board deliberated on the issue and noted the that proposed NOC is same as that issued to Dr. Chevlam Venkatesh in Sep 2020. The Board advised that such aberrations have happened in absence of a clear policy defining the role of the institute and the faculty members in a Start-up or a company with financial and legal implications. It was decided to constitute a Committee with Dean of Resources Generation as the Convener and Dean, R & D, Dean, Academic Affairs, and Head, CIIER as members to study and present a policy in the next meeting after referring eh practices in other IITs. Till then the request to be kept on hold.

40.9: To consider the appointment of New Board Nominees as members for selection committee meetings in respect of non-teaching positions.

Comments from MoE (TS-I): "May be considered."

Board authorises the Chairperson to accord approval to the nominations recommended by the Director, IITI.



40.10: Items for Ratification.

***Comments from MOE (TS-I):** " Before the ratification can be considered, the institute has to confirm that all the appointments made after commencement of CEI (Reservation in Teacher's cadre) Act 2019 are in accordance with this Act. Roster may please be provided which is being used for complying the reservation as per the said Act."*

It is clarified that the appointments were made in 2019 or earlier following due procedure and the extant rules at that time. Board ratified the above.

1. To ratify the Signed MoU.

- (i) Bharat Electronics Ltd, Bangalore (Non-Disclosure Agreement)
- (ii) IIT Kanpur- Centre for Ganga River Basin Management and Studies

Board ratified the above.

2. To ratify the list of faculty / staff members who have joined/ offer letter sent /confirmed/ relieved the Institute since last meeting.

- (i) The following faculty / staff members have been confirmed / regularized.

Item ratified.

- (ii) The following faculty members offered revision of pay.

Item ratified.

- (iii) The tenure of the following faculty members extended.

Item ratified.

- (iv) The following Staff members have been relieved.

Item ratified.

- (v) To ratify the appointment of Dean / Associate Dean / Head.

Board ratified the item with the correction in the date of appointment of Mr. Pankaj R. Sagdeo as Head, Department of Physics w.e.f. 18.11.2020.

3. To ratify the decision on confirmation of the two Institute Professors.

Item ratified.

4. To ratify the appointment of waitlisted candidate as Assistant Professor Grade-II in HSS.

Item ratified.

5. To ratify the conduction of Interviews for the Shortlisted applicants for the advertised two non-teaching positions.

Item ratified.



40.11: Items for Reporting.

1. To report adoption of Special Cash package equivalent in LTC fare for Central Government employee during the block of 2018-2021.

Comments from MOE (TS-I): "May be noted."

Item reported.

2. To report extension for continuation of Ph.D Program for two Ph.D Students.

Item reported.

3. To report Consolidated Laboratory Safety Manual and Model Laboratory Safety Manuals of the Institute.

Item reported.

4. To report disposal of old and used National Flags (Unserviceable & Condemned).

Item reported.

40.12: Any other item with permission of the Chair.

1. To ratify the Sabbatical Leave for a duration of one year for Dr. Raghunath Sahoo, Associate Professor, Physics approved the BoG Chairperson.

Board ratified the decision of grant of Sabbatical leave of one year to the faculty member to take up the prestigious assignment at CERN.

2. **Issues from IIT Indore currently under consideration with the Ministry:** The matter related to Funding, Recruitment and Pay were forwarded to the ministry for considerable time. As the work of the institute is being hampered, it was informed to expedite the consent of the ministry in these matters. It was assured that the same will be taken up on priority.

अध्यक्ष के प्रति आभार के साथ बैठक का समापन हुआ।

The meeting ended with a vote of thanks to the Chair.



(Professor Neelesh K. Jain)
Director (Officiating), IIT Indore



(S. P. Hota)
Registrar I/c and Secretary,
Finance Committee and
Board of Governors, IIT Indore

(Professor Deepak B. Phatak)
Chairman, Finance Committee and
Board of Governors, IIT Indore

