

- | | |
|--|----------------------------------|
| 10. Mr. Manoj Kohli (attended online)
Executive Chairman,
SB Energy (Soft Bank Group), New Delhi | Member, BoG |
| 11. Prof. Anand Parey
Dean, Resources Generation, IIT Indore | Member, BoG |
| 12. Dr. Devendra L. Deshmukh
Dean, Academic Affairs, IIT Indore | Special Invitee (For FC and BoG) |
| 13. Prof. Avinash Sonwane
Dean, International Affairs and Outreach, IIT Indore | Special Invitee (For FC and BoG) |
| 14. Dr. Manish Kumar Goyal
Dean, Infrastructure Development, IIT Indore | Special Invitee (For FC and BoG) |
| 15. Dr. Pritee Sharma (attended online)
Dean, Administration, IIT Indore | Special Invitee (For FC and BoG) |
| 16. Dr. Amod C. Umarikar
Associate Dean, Faculty Affairs, IIT Indore | Special Invitee (For FC and BoG) |
| 17. Mr. Pradeep Agarwal
Joint Registrar (Finance and Accounts and Resources Generation),
IIT Indore) | Special Invitee (For FC and BoG) |
| 18. Mr. S. P. Hota
Registrar(I/c), IIT Indore | Secretary, FC and BoG |

Agenda items of 39th meeting of the Finance Committee

39.1: Welcome and opening remarks by the Chairperson Finance Committee.

Comments from MOE (TE): "No comments."

Chairperson welcomed all the members and asked the Secretary to start the meeting as per the agenda after verifying the required quorum.

39.2: To confirm the minutes of the thirty eighth (38th) meeting of Finance Committee held on 12th August 2021.

Comments from MOE (TE): "May be confirmed."

Comments from MOE (IFD): "May be confirmed."



The Minutes of **thirty eighth (38th)** meeting of the FC held on 12th August 2021 were circulated to the members and no comments were received.

The FC confirmed the Minutes.

39.3: To consider the Action Taken Report (ATR) on 38th meeting of Finance Committee held on 12th August 2021

Comments from MOE (TE): "Action taken may be noted."

Comments from MOE (IFD): "May be considered as per deliberations and in accordance with extant guidelines on the subjects."

The FC noted the ATR with satisfaction.

39.4: To consider the recommendations of the 56th meeting of the Building and Works Committee to be held on 29th October 2021.

Comments from MOE (TE): "With regard to agenda 56.6 of BWC meeting, Institute is advised to wait till completion of project mode. With regard to agenda 56.7 of BWC meeting, may be approved subject to no financial obligation on part of Ministry. With regard to agenda 56.9 of the BWC meeting, views of IFD may be referred to."

Comments from MOE (IFD): "May be considered and financial implications should be within the approved project cost."

1. BWC/Item 56.6: To consider the proposal for Construction of Residential Complex having 216 flats of different types.

The FC noted the comments of the Ministry. The FC advised to request to the MoE to consider that the project of IIT Indore is almost completed except some incomplete work of Phase 1A(a) – Part A which is just approx. only 2% of the total project cost and this work also progressing very fast and expected to be completed Jan. 2022. The Ministry is requested to take up the matter of completion of the project on priority. Especially in view of the needs of the Institute due to continuous growth in terms of students and employees. The FC was apprised that a committee was constituted by the Director to prepare the vision document for the Institute for next 10 years which has submitted its report and presentations before the BoG was also made by 5 departments on 13th Aug 2021. It is also requested that a special session may be organised in Dec 2021-Jan 2022 to complete the presentation by the remaining Departments/School/Centres.

Keeping view above facts and the fact that the any major civil project takes at least two years from the planning stage to start and complete, the FC



recommended to the BoG to accord in principal approval for construction of Residential Complex having 216 flats with rough cost estimate of Rs. 175 Crore and approximate area of 39,200 SqM so that the elementary preparatory work such as soil and other tests at the site, preparation of detailed estimates, drawings, etc. can be started. Once closure of the project is declared, approval of the final AA and ES can be taken as per availability of funds.

2. BWC/Item 56.7: To consider the proposal of Solar Power Plant

The FC noted that there is no financial implication to the Institute rather it is matter of the benefit to the Institute, hence it is advised to prioritize the same. However, it is advised that all efforts shall be made to ensure optimal pricing while finalising the contract. The FC recommended to the BoG for approval of the proposal.

3. BWC/Item 56.9: Any other item with permission of Chair.

3.1 Execution of works up to One Crore Rupees departmentally through e-tendering:

The FC noted significant delay in execution of minor works through CPWD, unwillingness of CPWD to take up Works of smaller value, and its impacts on optimal utilisation of different buildings and facilities due to such delays/unwillingness. The FC also noted the various constraints in getting done some of the important works within Rs. 30 Lacs which often forces reduction work scope, compromising with quality and/or quantity of the work.

In view of this, FC decided to recommend execution of works up to One Crore Rupees departmentally through e-tendering. It was advised to ensure the merit of each case while placing the same for approval by BoG Chairperson.

3.2 Exemption of license fee to the Associate Deans of the Institute:

The FC recommended to the BoG to approve exemption from payment of the applicable licence fee by the Associate Deans residing in the Institute residence and as an addendum to the extant provisions in the Institute Residential Allotment Rules.

39.5: To consider and approve the Budget Estimates (BE) for 2022-23 along with the Revised Estimates (RE) for 2021-22.

Comments from MOE (TE): "To consider and approve the Budget Estimates (BE) for 2022-23 along with the Revised Estimates (RE) for 2021-22. Allocation of funds as desired by the Institute is subject to availability of sufficient funds.



Comments from MOE (IFD): "May be considered. However, the allocations are subject to available resources and restrictions/ instructions of MoF thereto."

The FC deliberated on the budget requirements and noted that the Institute has followed austerity measures and reduced expenses in budget head 31 i.e. recurring expenses despite the ongoing expansion in different aspects. The FC considered the budget details and resolved that MOE may be requested to provide adequate funding for the growth and expansion of the Institute in line with the vision of the Government.

39.6: To consider opening of New Bank account to receive funds from Ministry of MSME Design Scheme.

Comments from MOE (TE): "May be considered."

Comments from MOE (IFD): "May be considered."

The FC considered the proposal to opening of New Bank account to receive funds from Ministry of MSME Design Scheme and recommended to the BoG for approval.

39.7: Proposal for outsourcing Horticulture activities in the Campus

Comments from MOE (TE): "May be considered."

Comments from MOE (IFD): "May be considered following end-to-end e-tendering process through GeM if the service is available, otherwise through CPP Portal following GFRs."

The FC considered the proposal and suggested to include performance review mechanism as part of the contract. It was also suggested that other activities such as floriculture, honey extraction from honeycombs, organic farming etc. may also be explored while engaging a professional agency. Tendering for outsourcing the services of the Horticultural activities shall be done in open tender mode as advised by the Ministry.

The FC recommended the proposal to the BoG for approval.

39.8: Reporting items:

Comments from MOE (TE): "May be noted."

- 1. To report the latest position of grant-in-aid received from Ministry of Education, GOI and its utilization.**



Comments from MOE (IFD): "May be reported."

Item reported.

2. To report the latest status of Loan from HEFA

Comments from MOE (IFD): "May be reported."

Item reported

3. To report high value procurement items for the Institute.

Comments from MOE (IFD): "May be reported if the Procurement was made following end-to-end e-tendering process following GFRs and GTE guidelines of MoF, if applicable.

(i) Tender for "Comprehensive Security Services" (Indent No. 033):

Item reported

(ii) Tender for "Outsourcing Services for Engagement of Contract Manpower" (Indent No. 034):

Item reported

(iii) Tender for End-to-End Solution for Custom Clearance, Forwarding, Consolidation, Insurance, Multimodal Logistics and Allied Services for Import & Export of Goods through Clearing & Forwarding Agent (CFA) and Comprehensive Service Provider (CSP):

Item reported

(iv) Procurement of Desktops for Learning Resource Center:

Item reported

(v) Procurement of Desktops for Computer Science Engineering Department:

Item reported

(vi) Tender for Scanning and Digitization of Official Documents/Record:

Item reported

(vii) Tender for material handling equipment.

Item reported



39.9: Any other agenda with the permission of Chair.

To consider and approve recommendations of the Committee constituted to fix charges for the Institute Auditorium, seminar halls etc.

The FC recommended to the BoG to approve the recommendations and advised that in case of any request for use of the facilities for commercial purpose, Institute must reserve the right to accept OR reject the request. The current charges shall be effective till 31/03/2023 or till review by the institute, whichever is earlier.



Agenda items for the 43rd meeting of the Board of Governors

43.1 Opening Remarks by the BoG Chairperson

Comments from MOE (TE): "No comments".

The BoG Chairperson welcomed all the members and advised the Secretary to start the meeting as per the agenda after verifying the required quorum.

43.2 Report by the Director for joint 39th meeting of FC and 43rd meeting of BoG

Comments from MOE (TE): "No comments".

Director (Officiating) Prof. Neelesh Kumar Jain presented the report on various Academic, Infrastructural development, Research, and Faculty recruitment activities of the institute before the BoG. The BoG highly appreciated the initiatives and noted the same.

The BoG Chairperson appreciated the efforts of Director (Officiating) Prof. Neelesh Kumar Jain in completing the process of recruitment of Assistant Professor (Grade-I and Grade-II) in Oct 2021 in response to the March 2021 advertisement.

The BoG particularly highly appreciated the fact that among the 13 faculty members selected through special recruitment drive (SRD) conducted during March to Sep 2020 and 17 faculty members selected in Oct 2021 through open advertisement of March 2021 (i.e. total of 30 faculty members) 6 faculty from SC; 1 from ST; and 12 from OBC have been selected (i.e. 19 from the reserved category out of 30 implying more than 63%). This has significantly helped in filling the backlog vacancies for these categories at the entry level.

The BoG also appreciated that 17 faculty members have already joined out of the selected 30 faculty members and remaining 13 have confirmed their joining date to join the Institute during Nov 2021 to Jan 2022.

All the members of BoG and FC highly appreciated the signing of MoU between IIT Indore and IIM Indore on 8th Nov. 2021 for starting MS program in Data Science and Management from AY 2021-22, which is first and unique collaboration in which an IIT and an IIM have joined together to start such a degree program in an emerging but very important area.



43.3: To confirm minutes of the forty second (42nd) meeting of BoG held on 13th August 2021.

Comments from MOE (TE): "May be noted."

The Minutes of **forty second (42nd)** meeting of the BoG held on 13 August 2021 were circulated to the members and no comments were received.

The BoG confirmed the Minutes.

43.4: To consider the Action Taken Report (ATR) on the Minutes of 42nd meeting of Board of Governors.

Comments from MOE (TE): "May be noted."

The BoG noted the ATR with satisfaction.

43.5: To ratify the items approved by the BoG Chairperson.

Comments from MOE (TE): "May be considered."

43.5.1 Selection process for AP (Grade-II) and AP (Grade-I) from the short-listed applications received in response to advertisement no. IITI/FACREC/2021/March/01 dated 6th March 2021,

The BoG ratified the presented details. The Chairperson BoG expressed satisfaction the Institute could fill most of vacancy for reserved categories at the entry level.

43.5.2: The following faculty member has been relived from the Institute

The BoG ratified the decisions.

43.5.3: Approval for forwarding of application of Dr. Ritunesh Kumar (Associate Professor, Department of Mechanical Engineering) through proper channel for Scientific Attaché post on deputation

The BoG ratified the decisions.

43.5.4: Promotion of staff to Group-A post through DPC as per IITI RPN 2018

The BoG ratified the decisions.



43.5.5: To ratify the appointment of Dean and Associate Dean:

The BoG ratified the decisions.

43.6: To ratify the items approved by the Director

Comments from MOE (TE): "May be considered."

43.6.1 To ratify the Signed MoU with different foreign Institutions during the period of 11st August to 31st October 2021

The BoG ratified the signed MoUs and appreciated the Institute efforts. It was advised to follow up each MoU by the concerned faculty members.

43.6.2. To ratify the list of faculty members who have joined since last meeting of the BoG.

The BoG ratified the details.

43.6.2.1 Following new faculty members have joined the Institute.

The BoG ratified the details.

43.6.2.2 Following faculty has joined back the Institute before closure of one year lien

The BoG ratified the details.

43.6.2.3 Following faculty members have been confirmed.

The BoG ratified the details.

43.6.2.4: Pay of following faculty members has been revised as per provisions of 7th CPC

The BoG ratified the details.

43.6.2.5: Promotion of staff members through DPC as per IITI RPN 2018

The BoG ratified the details.

43.6.3: To ratify the withdrawal of lien request to Sh. Pradeep Agarwal (Joint Registrar, FA) to join MNIT Jaipur against the lien vacancy.

The BoG ratified the withdrawal of lien request to Sh. Pradeep Agarwal, Joint Registrar



- 43.7 To consider those recommendations of the Senate (from its 28th meeting held on 1st Nov 2021) which require approval of the BoG.

Comments from MOE (TE): "May be considered."

Senate/Item 28.4: Approval of list of additional students who have completed all the prescribed requirements for the award of degree during 1st Aug to 31st Oct 2021 and eligible to receive their degrees in the 9th Convocation of the Institute.

The BoG approved the proposed list of students. With this the total eligible candidates will be 498 who will receive degree during the 9th Convocation *which is the highest till now.*

Senate/Item 28.10: Proposal for Joint Ph.D. Degree program with foreign Universities/Institutions.

The BoG accorded in-principle approval to the proposal and advised detailed follow up for implementation of each MoU.

Senate/Item 28.15: Fee Structure for International Students for BTech Program joining IIT Indore through JEE (Advanced).

The BoG accorded its approval to the proposal and noted that any instruction from the Ministry on fees OCI/PIO students will be adopted by the institute.

Senate/Item 28.16: Guidelines for utilization of Research grant/Contingency of PMRF students

The BoG approved the proposal and advised that the institute policy on procurement, management of Assets which is in line with the provisions of GFR-2017 are to be followed and that the student cannot be allowed to retain any equipment/instrument/machine procured from the PMRF funds.

Senate/Item 28.17: Approval of recipient of Institute Silver Medal for the Best All-Round Performance among all the graduating students of all the academic programs.

The BoG approved the Senate recommendation in this regard

- 43.8: To consider the recommendations of the 39th meeting of the Finance Committee held on 8th November 2021.



Comments from MOE (TE): "Comments Conveyed vide letter no. 1S-18/2or4-TS-I dated 02.11.2021."

FC/39.4: To consider the recommendations of the 56th Building and Works Committee to be held on October 29, 2021.

The BoG accorded approval to the following items of the 56th meeting of the BWC (conducted on 29th Oct 2021) which were presented with the recommendation of 39th meeting of Finance Committee jointly conducted with 43rd meeting (i.e. this meeting) of the BoG on 8 Nov. 2021.

- 1. BWC/Item 56.6: To consider the proposal for Construction of Residential Complex (2BHK)**
- 2. BWC/Item 56.7: To consider the proposal of Solar Power Plant**
- 3. BWC/Item 56.9: Any other item with permission of Chair.**

3.1 Execution of works up to One Crore rupees departmentally through e-tendering:

3.2 Exemption of license fee to the Associate Deans of the Institute:

43.9: To consider and approve the Security Policy of IIT Indore.

Comments from MOE (TE): "May be considered."

The BoG accorded its approval to the proposal.

43.10: To consider the confirmation of service, Dr. Chandresh Kumar Maurya, Assistant Professor Grade-I, CSE.

Comments from MOE (TE): "May be considered as per the recommendation of Medical Board."

The BoG accepted the recommendation of the Medical Board.

43.11 Deliberation on Framing Policy to Avail Lien by a Regular Faculty or Staff Member to take up employment in a Private or Foreign University/ Institute/ Organization/ Industry

Comments from MOE (TE): "May be considered in line with lien policy followed in other IITs and Government of India Guidelines on lien."

The BoG for the time being adopted the provisions of IIT Bombay so that the eligible regular employees of the Institute can be granted Lien to take up



employment in a Pvt. or Foreign University, Institute, Organization, Industry subject to fulfillment of the following conditions:

- (i) Only Maximum 10% of the regular faculty member strength existing at the time of application in his/her parent Department/School/Center OR maximum 10% of the regular staff strength existing at the time of application in his/her Department/School/Center/Office/Section can be granted long leave (i.e. up to or beyond a semester) including lien or Sabbatical or any such long leave for the above-mentioned purposes. Grant of long leave will be subjected to the condition that grant of such long leave should not adversely affect teaching, research, administrative and Institute development activities within the concerned Department/School/Center/Office/Section. In case the 10% of regular faculty/staff strength is fraction number then it can be rounded off to higher integer if 1st digit after decimal equal to higher than 0.5 otherwise rounded to lower integer.
- (ii) The terms and condition on the payment of Leave salary, contribution of employee towards NPS, any other service terms and condition, etc. shall be ensured by the employee so that continuity in service is maintained during the Lien, Sabbatical period.

43.12: To consider the proposal of career growth scheme in terms of flexi cadre system for faculty members.

Comments from MOE (TE): "IITs adopted flexi cadre system to infuse talent at every level of teaching cadre to keep the higher level of academic standards. The flexi cadre system is followed per the recommendation of in few other CFTIs. The proposal of new career growth scheme needs revisit of flexi cadre scheme. IIT Indore may move a proposal in consultation with other IITs for a policy decision in the Ministry / IIT Council.

After considerable discussion, the BoG approved the following:

- Flexible cadre for Associate Professor and Professor with the BoG deciding the number for these positions and revisit the decision whenever needed. Movement of the already-recruited faculty members from Assistant Professor to Associate Professor and from Associate Professor to Professor to be treated as career enhancement and **not as a direct fresh recruitment**. As such, their career growth shall be based of assessment of their academic, research and other contributions as per the current practice. The current process of evaluation through the duly constituted selection committee and Visitor's nominee (in case of Professor) which has very strict checks to ensure that only excellence is encouraged and rewarded, shall continue to be followed. They will carry forward their category reservation.



- Reservation as per CEI 2019 Act to be applicable for the **direct fresh recruitment** at the level of Assistant Professor, Associate Professor and Professor.

The BoG also recommended that this proposal should be discussed and approved by the IIT Council for the benefit of all the IITs. Consequently, this proposal will be forwarded to the IIT Council.

43.13: Item for Reporting

Comments from MOE (TE): "May be noted."

1. To report Online training to non-Teaching employees.

A. The following staff member attended online training session:

-Item reported.

B. Following staff members attended the PFMS EAT Module training held on 10th Sep 2021:

-Item reported.

C. Following staff members attended the PFMS TSA Module training held on 24th Sep 2021:

-Item reported.

D. Awareness session is organized on income tax-related issues, taxation Schemes, Tax Savings options, in collaboration with M/s Anil Kamal Garg & Co, Chartered Accountants, Indore on 29th October 2021 for IIT Indore community members.

-Item reported.

43.14: Any other item with permission of the Chair.

1. Proposal for End-to-End Cleaning Sanitation and Sanitization Solutions at IIT Indore.

Board ratified this proposal, which was approved by the BoG Chairperson as it was already reported to the BoG at tendering stage and the final cost of the contract is less than the estimate and due procedure of online tendering was followed as per GFR -2017.



2. Short-listing report submitted by the Inter-Departmental Professorial Committee (IDPC) on 29th Sep 2021.

The Director presented the short-listing report submitted on 29th Sep 2021 by the Inter-Departmental Professorial Committee (IDPC) which was constituted as per the BoG approval on 16th April 2021 to short-list the applicants for the posts of Associate Professor and Professor in response to March 2021 advertisement (Advt. No. IITI/FACREC/2021/March/01). He highlighted the major shortcomings of this report such as (i) some applicants not short-listed for not having 60% or equivalent marks either in 10th or 12th or UG degree (in case it is not the preceding degree to the PhD) despite meeting all the short-listing criteria. This is against the 6th CPC notifications and the clarifications given by the BoG in its meeting held on 13th Aug 2021 in this regard; (ii) using 70% marks in the preceding degree to the PhD as the short-listing criteria; (iii) using teaching and research experience requirements separately in contrast to the advertisement, (iv) using last five years NIRF ranking for consideration of eminent institute interpretation, etc.

The BoG took note of the inordinate delay in submission of short-listing report by the IDPC and expressed its disappointment in IDPC for not following the 6th CPC notifications and BOG clarifications regarding education qualifications and experience. It has resulted in loss of time and seniority in career progression of Institute faculty members eligible for Professor and Associate Professor.

Therefore, keeping in mind all these facts it was decided that a fresh advertisement for the positions of Professor and Associate Professor to be floated with last date of applications being 31st Dec 2021 and with clear terms and condition on the educational qualifications and experience.

3. Proposal for deciding usage charges for institute facilities such as Seminar Hall and Auditorium

The BoG accorded approval to the proposal which can be implemented immediately and will be in force till 31.03.2023 or till review by the institute whichever is earlier.

4. Annual Report 2021

The BoG accorded approval to the Annual report presented separately for onward submission to the ministry for placing before the Parliament.



The meeting ended with a vote of thanks to the Chair.



(Professor Neelesh K. Jain)
Director (Officiating), IIT Indore



(S. P. Hota)
Registrar I/c and Secretary,
Finance Committee and
BoG of Governors IIT Indore

Deepak
Bhaskar Phatak

Digitally signed by
Deepak Bhaskar Phatak
Date: 2021.11.17
00:19:16 +05'30'



(Professor Deepak B. Phatak)
Chairman, Finance Committee and BoG of Governors
IIT Indore